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PATENT

Attorney Docket No. 555255012603

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. : 10/688,294
Filed : 10/17/2003
Art Unit : 2681
In re application of : Joseph C. Chen, et al.
Examiner : Not yet assigned
For : BATTERY MANAGEMENT SYSTEM AND METHOD

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56, applicant hereby advises the United States Patent and Trademark Office of certain references which may be material to the determination of patentability of the above-identified application. The references are identified on the attached Form PTO-1449 and copies of the references are enclosed. Applicant respectfully requests that these references be considered and made of record in the present application by completing and returning the enclosed Form PTO-1449.

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on October 11, 2004

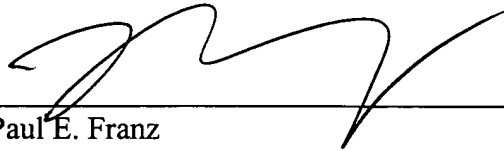
By: Delia Dejan

No fee is believed to be due for entry of this Information Disclosure Statement.

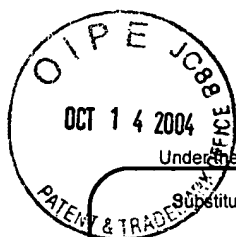
However, if any fee should be required, please charge such fee to Jones Day's Deposit Account

No. 501432, Reference No. 555255012603.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Paul E. Franz', is written over a horizontal line.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/688,294
Filing Date	10/17/2003
First Named Inventor	Joseph C. Chen
Art Unit	2681
Examiner Name	
Attorney Docket Number	555255012603

Sheet 1 of 1

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	A1	US- 6,408,196 B2	06/18/2002	Sheynblat et al.	
	A2	US- 6,229,988 B1	05/08/2001	Stapefeld et al.	
	A3	US- 6,445,937 B1	09/03/2002	daSilva	
	A4	US- 6,427,072 B1	07/30/2002	Reichelt	
	A5	US- 6,314,308 B1	11/06/2001	Sheynblat et al.	
	A6	US- 6,408,172 B1	06/18/2002	Alperovich et al.	
	A7	US- 2002/0016189 A1	02/07/2002	Sheynblat et al.	
	A8	US- 2003/0158609 A1	08/21/2003	Chiu	
	A9	US- 5,964,879	10/12/1999	Dunstan et al.	
	A10	US- 2001/0029196 A1	10/11/2001	Wakamatsu	
	A11	US- 5,600,230	02/04/1997	Dunstan	
	A12	US- 2002/0147037 A1	10/10/2002	Kwon	
	A13	US- 2002/0082059 A1	06/27/2002	Nariai et al.	
	A14	US- 2002/0177475 A1	11/28/2002	Park	
	A15	US- 2001/0023198 A1	09/20/2001	Muto	
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	A16	JP 2002 017047 A	01/18/2002	Matsushita Electric		
	A17	EP 03023676.4	03/17/2004	Search Report		

Examiner
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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